# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of:	)
HIROSHI TSUJI ET AL.	Examiner: Jack I. Berman
Appl. No.: 09/691,234	: Group Art Unit: 2881
Filed: October 19, 2000	)
For: ELECTRON BEAM LITHOGRAPHY APPARATUS	; ;
U.S. Patent No. 6,730,916 B1	;
Issued: May 4, 2004	February 7, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# PETITION UNDER 37 C.F.R. § 1.183 TO CORRECT ASSIGNEE INFORMATION

Sir:

The undersigned, on behalf of Patentees, respectfully requests the Commissioner to suspend the rules to permit correction of the name of Patentees' assignee on the subject patent by reprinting the cover page of U.S. Patent No. 6,730,916 B1. The petition fee under 37 C.F.R. § 1.17(h) will be addressed in the Conclusion section below.

#### **FACTS**

- 1. Patentees received a Notice of Allowance and Issue Fee Due, bearing a mailing date of November 26, 2003 (copy attached as Exhibit 1).
- 2. Patentees paid the issue fee on February 11, 2004 and inadvertently included "CANON KABUSHIKI KAISHA, TOKYO, JAPAN" as the sole assignee on the issue fee transmittal (Form PTOL-85). A copy of the Form PTOL-85 is attached as Exhibit 2.
- 3. An assignment of the above-identified application to CANON KABUSHIKI KAISHA, and HITACHI, LTD. was filed in the Patent Office on January 18, 2001, which assignment was recorded at Reel 011452, Frame 0093, with a recordation date of January 18, 2001. A copy of the recorded assignment, together with a copy of the Notice Of Recordation, are attached as Exhibit 3.
- 4. On April 2, 2004, Patentees filed a Request under 37 C.F.R. § 3.81(b) to Issue Patent in Name of Assignee (the Request) together with a check in the amount of \$130.00 to cover the processing fee under 37 C.F.R. § 1.17(i), as is evidenced by a copy of the postcard with the PTO stamp of receipt thereon. (See Exhibit 4).
- 5. U.S. Patent No. 6,730,916 B1 issued on May 4, 2004, bearing "CANON KABUSHIKI KAISHA, TOKYO (JP)" in the section entitled "(73) Assignee" on the cover page of the patent.
- 6. Patentees' attorney of record is filing a Certificate of Correction and Request for Reprinted Cover Page of Letters Patent for the subject patent concurrently herewith (Exhibit 5) requesting the insertion of the correct name and address information of both Assignees on the cover page of the patent, and also requesting the reprinting of that cover page. The original Letters Patent is attached as Exhibit 6.

#### RELIEF REQUESTED

The Commissioner is respectfully requested to waive 37 C.F.R. § 3.81(a) and permit correction of the Assignee name after issuance of the patent, by granting the request for Certificate of Correction filed concurrently herewith, and reprinting the cover page of the Letters Patent to correct the Assignees' information. In particular, on the cover page at (73) Assignee, "Canon Kabushiki Kaisha, Tokyo (JP)" should be changed to --Canon Kabushiki Kaisha, Tokyo (JP) and Hitachi Ltd., Tokyo (JP)--. The error noted in item 2 above (i.e., the failure to include this information on the Form PTOL-85) was an inadvertent error made by the Patentees' attorney and the correction is within the interests of justice, especially since the Patent and Trademark Office was respectfully requested to correct that error in the Request identified in item 4 above, prior to issuance of the patent. The undersigned believes this Petition is in compliance with the requirements set forth in M.P.E.P. §§ 307 and 1481.

#### CONCLUSION

Favorable consideration hereof is respectfully solicited.

Because a check in the amount of \$130.00 for the Request identified in item 4 above already has been submitted to the Patent and Trademark Office, it is believed that no additional petition fee should be required. However, if an additional petition fee is required, please charge the fee, or credit any overpayment, to Deposit Account 06-1205.

Patentees' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Frank A. Del Lucia / Attorney for Patentees Registration No. 42,476

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza New York, New York 10112-3801 Facsimile: (212) 218-2200

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NEW YORK, NY				ART UNIT	PAPER NUMBER
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	·			DATE MAILED: 11/26/200	3
APPLICATION NO.	FILING DATE	FIRST NAM	ED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/691,234	10/19/2000	HIROS	SHI TSUJI	862.C2032	5023
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APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional  HE APPLICATION	NO N IDENTIFIED ABO	\$1330 VE HAS BEEN EXA	\$0° AMINED AND IS ALL	\$1330 OWED FOR ISSUAN	02/26/2004 ICE AS A PATEN

PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHT THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPO PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM TH MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOV REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (O AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WIL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:** 

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is n claiming SMALL ENTITY status, check the box below and enclo the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) w your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/691,234	10/19/2000	10/19/2000 HIROSHI TSUJI 862.C2032				
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	K CELLA HARPER & S	CINTO	BERMAN	, JACK I		
30 ROCKEFEL NEW YORK, 1			ART UNIT	PAPER NUMBER		
,			2881			
			DATE MAILED: 11/26/2003	3		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 110 day(s). If the issue fee is paid on the date that is three months after t mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a ha months) after the mailing date of this notice, the Patent Term Adjustment will be 110 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date th determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retriev (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/691,234	10/19/2000	HIROSHI TSUJI	862.C2032	5023	
5514	7590 11/26/2003		EXAM	INER	
	K CELLA HARPER & SCIN	NTO	BERMAN, JACK I		
30 ROCKEFEL NEW YORK, N			ART UNIT	PAPER NUMBER	
,			2881		
			DATE MAILED: 11/26/2003	3	

# Notice of Fee Increase on October 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2003, then t amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be increase in fees effective on October 1, 2003. See Revision of Patent Fees for Fiscal Year 2004; Final Rule, 68 Fe Reg. 41532, 41533, 41534 (July 14, 2003).

The current fee schedule is accessible from (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in vie of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processi delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowan is to be filed on or after October 1, 2003 (or mailed with a certificate of mailing on or after October 1, 2003), t issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and t response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to t issue fee now due, then the difference between the issue fee amount at the time the response is filed and t previously-paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eigh Edition, August 2001).

Effective October 1, 2003, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

(b) Issue fee for issuing a design patent:

(c) Issue fee for issuing a plant patent:

By other than a small entity.......\$640.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of t Office of Patent Publication at (703) 305-8283.

	Application No.	Applicant(s)					
Notice of Allowahility	09/691,234	TSUJI ET AL.					
Notice of Allowability	Examiner	Art Unit					
	Jack I. Berman	2881					
All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included nerewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.  1. This communication is responsive to the amendment filed 10/09/03 and the RCE filed 11/4/03.						
<ol> <li>Inis communication is responsive to the amendment filed</li> <li>The allowed claim(s) is/are 1,2,7-15 and 18-49.</li> </ol>	10/09/ 03 and the RCE filed 11/4/03	k					
3. The drawings filed on <u>03 March 2003</u> are accepted by the	Examiner.						
4. Acknowledgment is made of a claim for foreign priority ur  a) All b) Some* c) None of the:							
<ol> <li>Certified copies of the priority documents have</li> </ol>							
Certified copies of the priority documents have	• • • • • • • • • • • • • • • • • • • •						
<ol> <li>Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)).</li> </ol>	cuments have been received in this	national stage application from the					
* Certified copies not received:							
5. Acknowledgment is made of a claim for domestic priority u reference was included in the first sentence of the specific	ation or in an Application Data Shee						
(a) The translation of the foreign language provisional a	• •	inaa aanaifa rafaranaa waa indudad					
6. Acknowledgment is made of a claim for domestic priority u in the first sentence of the specification or in an Application		ince a specific reference was included					
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of	f this communication to file a reply c this application. THIS THREE-MO	omplying with the requirements noted NTH PERIOD IS NOT EXTENDABLE.					
7. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give							
<ul> <li>8. ☐ CORRECTED DRAWINGS ( as "replacement sheets") must</li> <li>(a) ☐ including changes required by the Notice of Draftspers</li> <li>1) ☐ hereto or 2) ☐ to Paper No</li> </ul>		-948) attached					
(b) ☐ including changes required by the proposed drawing of							
(c) ☐ including changes required by the attached Examiner	s Amendment / Comment or in the	Office action of Paper No					
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t							
	9. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.						
Attachment(s)							
1⊠ Notice of References Cited (PTO-892)		atent Application (PTO-152)					
2☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3☐ Information Disclosure Statements (PTO-1449 or PTO/SB/06	•	(PTO-413), Paper No nent/Comment					
Paper No 4☐ Examiner's Comment Regarding Requirement for Deposit	<u> </u>	ent of Reasons for Allowance					
of Biological Material	9 Other	Jack I. Berman  Jack I. Berman  Primary Examiner  Art Unit: 2881					
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Page 2

Application/Control Number: 09/691,234

Art Unit: 2881

The following is an examiner's statement of reasons for allowance: In addition to the reasons set forth in the Final Rejection mailed on June 4, 2003, the prior art does not teach to provide a magnetic shield surrounding surfaces of the magnet on a moving yoke in a linear motor that do not face an electromagnetic coil, or to provide a first magnetic field shield between a stage driver, which has a magnet and an electromagnetic coil, and a sample on the stage moved by the driver and a second magnetic field shield between the first shield and the sample.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the 571-272-2468 examiner should be directed to Jack I. Berman whose telephone number is (703) 308-4849. The examiner can normally be reached on M-F (8:30-6:00) with every second Friday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John R. Lee can be reached on (703) 308-4116. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9318.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

Jack I. Berman Primary Examiner Art Unit 2881

ih

November 17, 2003

#### Applicant(s)/Patent Under Application/Control No. Reexamination 09/691,234 TSUJI ET AL. Notice of References Cited Art Unit Examiner Page 1 of 1 2881 Jack I. Berman **U.S. PATENT DOCUMENTS**

	U.S. PATENT DOCUMENTS					
*	_	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification	
	Α	US-6,614,034	09-2003	Nakasuji, Mamoru	250/492.22	
	В	US-				
	С	US-				
	D	US-				
	E	US-				
	F	US-				
	G	US-				
	н	US-				
	1	US-				
	J	US-				
	к	US-				
	L	US-				
	М	US-				

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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#### NON-PATENT DOCUMENTS

	HON-FATERT BOCOMENTS					
*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)				
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

# RT B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FLA **Commissioner for Patents** P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed wh appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address

ndicated unless corrected naintenance fee notificatio	below or directed otherwise ns.	in Block 1, by (a)	specifying	a new correspondence address	s; and/or (b) indicating a sepa	arate "FEE ADDRESS"
CURRENT CORRESPONDENCE	CE ADDRESS (Note: Legibly mark-up	with any corrections or	use Block 1)	papers. Each addition	f mailing can only be used for his certificate cannot be used all paper, such as an assignment	or domestic mailings of for any other accompany ent or formal drawing, m
5514 7590 11/26/2003			have its own certifica	te of mailing or transmission.		
	CELLA HARPER &	SCINTO		Co	ertificate of Mailing or Trans	smission
30 ROCKEFELLE				I hereby certify that s States Postal Service	this Fee(s) Transmittal is bein with sufficient postage for fit all Stop ISSUE FEE address	g deposited with the Un st class mail in an envel
NEW YORK, NY	10112			addressed to the Ma transmitted to the US	ail Stop ISSUE FEE address PTO, on the date indicated be	above, or being facsim low.
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APPLICATION NO.	FILING DATE	. 1	FIRST NAME	D INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/691,234	10/19/2000		HIROSI	HI TSUJI	862.C2032	5023
TILE OF INVENTION: E	ELECTRON BEAM LITHOC	GRAPHY APPARA	ATUS			
APPLN, TYPE	SMALL ENTITY	ISSUE FI	EE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	)	\$0	\$1330	02/26/2004
EXA	MINER	ART UN	IT	CLASS-SUBCLASS	]	
BERMA	N, JACK I	2881		250-396000	<del>-</del>	
Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			names of agents Ol firm (hav agent) an	inting on the patent front page f up to 3 registered patent R, alternatively, (2) the name ing as a member a registered d the names of up to 2 registered or agents. If no name is list inted.	attorneys or 1 Fitzp to f a single I attorney or 2 Harpe stered patent	atrick, Cell r & Scinto
. ASSIGNEE NAME ANI	D RESIDENCE DATA TO B	E PRINTED ON T	HE PATEN	T (print or type)		
PLEASE NOTE: Unless been previously submitte (A) NAME OF ASSIGN	ed to the USPTO or is being s	submitted under sep	parate cover.	ar on the patent. Inclusion of Completion of this form is NC CE: (CITY and STATE OR CO	OT a substitute for filing an ass	ate when an assignment ignment.
CANON KABUS	HIKI KAISHA		TOK	YO, JAPAN		
lease check the appropriat	e assignee category or catego	ries (will not be pri	inted on the p	patent); 🔾 individual 💢	corporation or other private g	roup entity 🖸 governm
a. The following fee(s) are	enclosed:	4b	. Payment of	Fee(s):		
XX Issue Fee	•	2	XXX check i	in the amount of the fee(s) is e	nclosed.	•
☐ Publication Fee			☐ Payment	by credit card. Form PTO-203	8 is attached.	
XX Advance Order - # of	Copies5	<del></del>	The Dire	ector is hereby authorized by count Number	charge the required fee(s), or (enclose an extra	credit any overpayment copy of this form).
Director for Patents is requ	ested to apply the Issue Fee a	<del></del>		re-apply any previously paid		
Authorized Signature	(Reg. No.	(Date) February	10,200	4		

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### (AFTER APPLICATION FILED)

#### ASSIGNMENT OF PATENT RIGHTS FOR THE UNITED STATES

FOR VALUE RECEIVED, We, Hiroshi TSUJI, Mitsuru INOUE, Norio SAITOU, Yasuhiro SOMEDA and Yoshimasa FUKUSHIMA

citizens of Japan

residing respectively at

Kokubunji, Japan; Tochigi, Japan; Tokorozawa, Japan; Fuchu, Japan; and Hitachinaka, Japan

hereby sell, assign, transfer and convey unto

- (1) Canon Kabushiki Kaisha
- (2) Hitachi, Ltd.

a corporation of Japan having a place of business at

- (1) 30-2, Shimomaruko 3-chome, Ohta-ku, Tokyo, Japan
- (2) 6, Kanda Surugadai 4-chome, Chiyoda-ku, Tokyo 101-8010, Japan

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for the United States, in and to certain inventions relating to

#### ELECTRON BEAM LITHOGRAPHY APPARATUS

and described in an application for Letters Patent of the United States filed by us on October 19, 2000 and which has been accorded Application No. 09/691, 234 and in and to said application, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof; and we hereby authorize and request the Commissioner for Patents and Trademarks of the United States to issue all Letters Patent upon said inventions to the Assignee or to such nominees as it may designate.

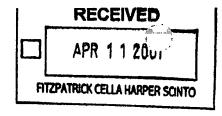
AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for patent or other form of protection for said inventions, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent protection for said inventions in the United States.

Bv:	Hiroshi Tsuji Hiroshi TSUJI	Date:_	november 24, 2000
	Hiroshi TSUJI		
By:_	mitsuru none	Date:_	november 21, 2000
•	Mitsuru INOUE		
By:_	Morie Saiten	Date:_	November 24, 2000
	Norio SAITOU		
By:_	Yasuhiro Someda Yasuhiro SOMEDA	Date:_	December 3, 2000
	Yasuhiro SOMEDA		
By:_	Yoshinasa Fukushima	Date:_	November 28, 2000
	Vochimaca FIIKIICHTMA		•





UNITED STATES DEPARTMENT OF COMMERC Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APRIL 04, 2001

FITZPATRICK, CELLA, HARPER & SCINTO JOSEPH W. RAGUSA 30 ROCKEFELLER PLAZA

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NEW YORK, NEW YORK 10112-3801

862,C2032

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/18/2001

REEL/FRAME: 011452/0093

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TSUJI, HIROSHI

DOC DATE: 11/24/2000

ASSIGNOR:

INOUE, MITSURU

DOC DATE: 11/21/2000

ASSIGNOR:

SAITOU, NORIO

DOC DATE: 11/24/2000

ASSIGNOR:

SOMEDA, YASUHIRO

DOC DATE: 12/03/2000

ASSIGNOR:

FUKISHIMA, YOSHIMASA

DOC DATE: 11/28/2000

ASSIGNEE:

CANON KABUSHIKI KAISHA 30-2, SHIMOMARUKO 3-CHOME, OHTA-KU TOKYO, JAPAN ASSIGNEE:

HITACHI, LTD. 6, KANDA SURUGADAI 4-CHOME, CHIYODA-KU TOKYO 101-8010, JAPAN

SERIAL NUMBER: 09691234 PATENT NUMBER:

ISSUE DATE:

FILING DATE: 10/19/2000

MARCUS KIRK, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS 1.18.01

01-29-20(





1-31-92	PATEN 1	101595944
		Atty. Docket: 862.C2032  and the attached original documents or copy thereof.
	,	to the attached original documents or copy thereof.
1. Name of conveying party(ies	·	Name and address of receiving party(ies):
HIROSHI TSUJI, MITSURU INC	DUE, NORIO SAIT U,	Mame: CANON KABUSHIKI KAISHA and HITACHI, LTD.
YASUHIRO SOMEDA and YOS	V 2	
Additional name(s) of convey	wing porty(ice) attached?	Foreign Address: 30-2, Shimomaruko 3-chome, Ohta-ku
Yes Yes	X No	Tokyo, Japan and 6, Kanda Surugadai 4-chome, Chiyoda-ku,
3. Nature of conveyance:  X Assignment	Merger	Tokyo 101-8010, Japan respectively
Security Agreement	Change of Name	Domostio Address
Other		Domestic Address:
Execution Date: 11/24/00, 11/	/21/00, 11/24/00, 12/3/00 and	City: State ZIP
11/28/00 respectively		Additional name(s) & address(es) attached? Yes X No
4. Application number(s) or pat	ent number(s):	1.00 [3, 1.0
		the execution date of the application is:
A. Patent Application Numb	per: 09/691,234	B. Title of Invention: ELECTRON BEAM
Filing Date: October 19,	2000	LITHOGRAPHY APPARATUS
•		•
	Additional numbers attached?	Yes X No
5. Name and address of party to concerning document should		6. Number of applications and patents involved:
Name: Fitzpatric	k, Cella, Harper & Scinto	One
		7. Total fee (37 CFR 3.41): \$40.00
30 Rocke	feller Plaza	
New York	k, New York 10112-3801	X Enclosed Authorized to be charged to deposit account
Telephone No.: (212) 218	-2100	8. Deposit account number (for deficiency or excess)
Facsimile No.:(212) 218	-2200	06-1205
		(Attach duplicate copy of this page if paying by deposit account):
01/29/2001 DBYRNE 00000188 0969	01234 DO NOT USE	THIS SDACE
01 FC:581	40.00 OP	THIS SPACE
9. Statement and signature.		
To the hest of my knowledge	and haliaf the foregoing informati	
copy of the original document	t.	on is true and the attached is the original document or is a true
38,8	· · · //	
Joseph W. Ragusa		1 W. Kagusa January 17, 2001
Name of Person Signing	#Sign	ature Date
	Total number of	pages including cover sheet, attachments, and documents: 3
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	Mail Styl Issue FEE Commissioner for Patents	Date 4,2,04
	P.O. Box 1450	Mo. Day Yr.
	Alexandria, VA 22313-1450	Atty. Docket <u>00862</u> . 02203
	Sir:	Application No. 09/69/234
	Kindly acknowledge receipt of the accompanying:	OIPE
	Response to Official Action.	
Ę,	My Check for \$_130.00 (elaims fee)	APR 0 2 2004 11
FCHS-B-00	Petition under 37 CFR 1.136 and Check for \$	1
ŏ	☐ Notice of Appeal and Check for \$	- Programme and the second
	☐ Information Disclosure Statement, PTO-1449 and	RADEMOcuments
	Claim for priority and certified copies of  Issue fee transmittal and Charles are	priority applications
	Other (specify) Parent in Name of Assigned	2. 5 3.81 (6) TO ISSUE
	by placing your receiving date stamp hereon and mailing	or returning to deliverer.
	Atty. AMG	37 CFR 1.8 □
	Atty. Due Date $\frac{N}{Mo}$ Day	37 CFR 1.10 ☐ Yr. By Hand

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
HIROSHI TSUJI ET AL.	:	Examiner: Jack I. Berman
Appl. No.: 09/691,234	: )	Group Art Unit: 2881
Filed: October 19, 2000	: )	
For: ELECTRON BEAM LITHOGRAPHY APPARATUS	; ) ;	April 2, 2004

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# REQUEST UNDER 37 C.F.R. § 3.81(b) TO ISSUE PATENT IN NAME OF ASSIGNEE

Sir:

Applicants respectfully request under 37 C.F.R. § 3.81(b) that the Commissioner issue the patent in the above-identified application in the name of the present assignees of record,

CANON KABUSHIKI KAISHA, Tokyo, Japan

Hitachi, Ltd., Tokyo, Japan

A check in the amount of \$130.00 is enclosed to cover the processing fee under 37 C.F.R. § 1.17(i).

#### **FACTS**

- 1. Applicants received a Notice of Allowance and Issue Fee Due bearing a mailing date of November 26, 2003.
- 2. Applicants paid the issue fee on February 11, 2004 and inadvertently included "CANON KABUSHIKI KAISHA, Tokyo, Japan" as the sole assignee on the

issue fee transmittal (Form PTOL-85). A copy of the Form PTOL-85 is attached as Exhibit 1.

3. An assignment of the above-identified application to CANON KABUSHIKI KAISHA, and Hitachi, Ltd., was filed in the Patent Office on January 18, 2001, which assignment was recorded at Reel 011452, Frame 0093, with a recordation date of January 18, 2001. A copy of the recorded assignment, together with a copy of the Notice Of Recordation, are attached as Exhibit 2.

#### **RELIEF REQUESTED**

The Commissioner is respectfully requested under 37 C.F.R. § 3.81(b) to issue the subject patent in the names of CANON KABUSHIKI KAISHA, Tokyo, Japan, and Hitachi, Ltd., Tokyo, Japan. The undersigned believes this Request is in compliance with the requirements set forth in M.P.E.P. §§ 307 and 1481. A check in the amount of \$130.00 is enclosed to cover the processing fee under 37 C.F.R. § 1.17(i) for this request. Any additional fees that may be deemed necessary by the Patent and Trademark Office, or overpayment of the fee, should be charged or credited to Deposit Account 06-1205.

Applicants' undersigned attorney may be reached in our New York, office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Attorney for Applicants

Registration No. 47476

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza New York, New York 10112-3801 Facsimile: (212) 218-2200

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